



Service Contract



Abacus Wealth Europe – Empresa de Investimento, S.A. Service Contract

1. Our Service Proposition

We provide a summary of the services we offer, and the associated costs in our Terms of Business. We advise you read these terms carefully.

We agree to provide the services you have selected, and you agree to pay us for those services. We require you to confirm this by signing and dating.

Our proposition offers services of both an initial and/or ongoing nature. We will only provide ongoing services as part of the agreed ongoing service contract for which you agree to pay the commensurate fee. Where you engage with one of our ongoing service propositions, this will be provided as a follow up to the initial service unless we make an alternative arrangement.

We are only able to provide you with advice or recommendations once we have made a detailed assessment of your current circumstances, financial objectives, investment experience, and your capacity for and attitude to any risk that may be involved. We will also take into account any restrictions that you may wish to place on the type of products you would be willing to consider. We do this by conducting a fact-finding meeting with you and documenting the answers that you provide us with. It may also be necessary for us to write to providers of other products and/or services you already own in order to advise you correctly. In such circumstances, we ask you to sign appropriate letters of authority so we may write to the providers of such products and/or services and request the information we need.

Non-Advised Sales

In some circumstances we may provide you with a non-advised service where you will not receive advice or a recommendation from us. Where this is the case we will provide details of our non-advised services and charges separately.

Abacus Wealth Europe – Empresa de Investimento, S.A.

Rua Manuel Teixeira Gomes, Edifício K.G. Villa Services, 8135-016 Almancil,

T: + 351 912 332 114

Email: joao.martins@abacuswealth.eu

Directors: *Joanne Rodriguez* *Darren Mills*

Registered in Portugal under NIPC 516532340. Authorised and regulated by the Comissão do Mercado de Valores Mobiliários for Investment Business (financial intermediary number 436).

2. Services and Charges Agreed

Service

Initial Service	Tick to confirm	Details of Charge €/%
Fact find meeting and certification of Know Your Client documentation.	<input type="checkbox"/>	€250 + IVA
Research, strategy formulation and provide detailed written recommendations.	<input type="checkbox"/>	€750 + IVA
Implementation of recommendations	<input type="checkbox"/>	3% + IVA
Transactional service	<input type="checkbox"/>	
Other service – specify details	<input type="checkbox"/>	

Payment Method	Tick to confirm
Platform account	<input type="checkbox"/>
Direct from provider	<input type="checkbox"/>
Direct payment by client	<input type="checkbox"/>
3 rd party	<input type="checkbox"/>

Ongoing Service	Tick to confirm	Fee agreed
Annual review service	<input type="checkbox"/>	0.5% + IVA
Bespoke service	<input type="checkbox"/>	

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Payment Method	Tick to confirm
Platform account	
Direct from provider	
Direct payment by client	
3 rd party	

3. Communications and Marketing Preferences

Occasionally, we or associated parties may send you marketing/promotional communications via email, telephone or post. Recipients will be carefully selected, and information will only be sent where we or the associated party feel it to be appropriate. Should you wish to opt out in the future, you can do so at any time. Please indicate your preferences using the boxes below (by placing a tick in the appropriate box).

Marketing preferences	Client 1	Client 2
I would like to OPT IN to receiving marketing as detailed above		
I would like to OPT OUT to receiving marketing as detailed above		

If you choose to opt in, please tick ones of the boxes below to indicate how you would like to receive our marketing

How you would like to receive our marketing					
Client 1	Post		Email		Phone/SMS
Client 2	Post		Email		Phone/SMS

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4. Politically Exposed Person (PEP) Questionnaire

A PEP connection includes the applicant, family members or close associates (including anyone with a close business relationship, e.g. joint ownership of a company, a partnership, or anyone benefiting from any company/other entity owned by the applicant) please specify if you currently hold or have held the position of:

Position	Client 1 Yes/No	Client 2 Yes/No
Member of Parliament/Government		
Minister or Deputy Minister		
Senior government official		
Member of the board of senior executive of a central bank or state-owned enterprise		
An ambassador, charge d'affaires or other high-ranking officer in a diplomatic service		
High ranking officer in the armed forces		
Senior member of management of, or a member of, the governing body of an international entity or organisation		
Honorary consul		

5. US/Canada Residency Questionnaire.

US or Canadian connections	Client 1 Yes/No	Client 2 Yes/No
Are you a US or Canadian citizen?		
Were you born in the USA or Canada?		
Will a US or Canadian correspondence address be used for your business with us?		
Will there be a power of attorney or signing authority granted to a person with a US or Canadian address?		

If you have answered yes to any of the questions above, please provide further details in the free format box below;

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By signing the declaration below, you confirm that you have read and understand the Terms of Business document provided to you, you understand the fees agreed for the service Abacus Wealth Management are providing to you, you confirm your marketing preferences, you confirm your PEP status and you confirm your connections to the US and Canada.

Declaration

Date issued:

Client 1 name:

Client 2 name:

Client 1 signed:

Client 2 signed:

Date:

Date: / /

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